

ALAMM.002A



#2/2-15-02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael T. Aarons ) Group Art Unit 2131  
App. No. : 09/921,733 )  
Filed : August 3, 2001 )  
For : METHOD AND )  
APPARATUS FOR SECURE )  
IDENTIFICATION FOR )  
NETWORKED )  
ENVIRONMENTS )

Examiner : Unknown

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FEB 15 2002  
**Technology Center 2100**

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is Form PTO-1449 listing twenty-seven (27) references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this application. Further, Applicant makes no representation regarding the completeness of this list, nor represents that better art does not exist.

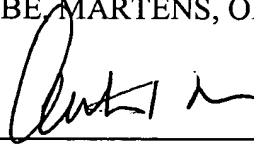
Appl. No. : 09/921,733  
Filed : August 3, 2001



Dated: 1/38/02

Respectfully submitted,

KNOBBE MARTENS, OLSON & BEAR, LLP

By: 

Arthur S. Rose  
Registration No. 28,038  
Attorney of Record  
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(949) 760-0404

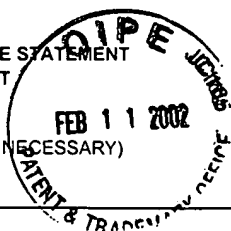
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013002

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
ALAMM.002AAPPLICATION NO.  
09/921,733INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Michael T. AaronsFILING DATE  
August 3, 2001GROUP  
2131**RECEIVED**

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## U.S. PATENT DOCUMENTS

Technology Center 2100

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,614,861	09/30/1986	Pavlov et al.			
	2	4,641,017	02/03/1987	Lopata			
	3	4,812,633	03/14/89	Vogelgesang, et al.			
	4	4,868,373	09/19/1989	Opheiji et al.			
	5	4,916,687	04/10/90	Endo			
	6	5,059,774	10/22/91	Kubo, et al.			
	7	5,107,099	04/21/1992	Smith			
	8	5,149,951	09/22/92	Vogelgesang			
	9	5,475,656	12/12/95	Sato et al.			
	10	5,825,292	10/20/98	Tsai et al.			
	11	5,844,757	12/01/1998	Rose			
	12	5,882,555	03/16/99	Rohde et al.			
	13	5,942,744	08/24/99	Kamo et al.			
	14	6,016,298	01/18/00	Fischer			
	15	6,021,030	02/01/2000	Weinberger			
	16	6,179,209	01/30/2001	Goodwin et al.			
	17	6,189,055	02/13/2001	Eisele et al.			

## FOREIGN PATENT DOCUMENTS

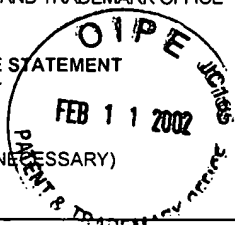
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ALAMM.002A	APPLICATION NO. 09/921,733
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Michael T. Aarons	
		FILING DATE August 3, 2001	GROUP 2131


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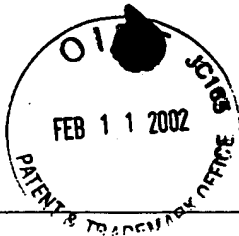
FEB 15 2002

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	18 Bank Systems + Technology, March 2000; E-Payment Choices, Internet Debit Emerges as Credit Card Alternative by Russell Redman.
	19 Results of computer database search using research terms: NYCE & (Safe Debit or Safedebit?) conducted September 1, 2000.
	20 U. S. Patent Application Publication No. US 2001/0008015 A1 published July 12, 2001 by VU et al.
	21 U.S. Patent Application Publication No. US 2001/0013023 A1 published August 9, 2001 by OSHIMA et al.
	22 U.S. Patent Application Publication No. US 2001/0029490 A1 published October 11, 2001 by Inamochi.
	23 U.S. Patent Application Publication No. US 2001/0039535 A1 published November 8, 2001 by Tsiounis et al.
	24 U.S. Patent Application Publication No. US 2001/0055132 A1 published December 27, 2001 by OSHIMA et al.
	25 International Search Report in PCT Application No. PCT/US07/12393 dated July 12, 2001.
	26 Printout from web site <a href="http://www.cdccash.co.kr/english">http://www.cdccash.co.kr/english</a> , printed on January 22, 2002.
	27 Printout from web site <a href="http://www.movemoney.com">http://www.movemoney.com</a> , printed on January 22, 2002.

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2131  
PATENT

Case Docket No. ALAMM.002A  
Date: January 30, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Filed : August 3, 2001  
For : METHOD AND  
APPARATUS FOR SECURE  
IDENTIFICATION FOR  
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ENVIRONMENTS  
Examiner : Unknown  
Group Art Unit : 2131

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

January 30, 2002  
(Date)  
  
Arthur S. Rose, Reg. No. 28,038

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TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A Form PTO-1449 with twenty-seven (27) references.
- (X) The Commissioner is hereby authorized to charge any fees which may be required to Account No. 11-1410.
- (X) Return prepaid postcard.

Arthur S. Rose  
Registration No. 28,038  
Attorney of Record